

[X] Pursuant to 37 C.F. the date indicated below		Mailing/Transmission	(37 C.F.R. § 1.8	B(a)):		→ ``
T .	R. § 1.8, I hereby carrify that this pay in an envelope addressed to the Assi	er and all enclosures are being de islant Commissioner for Patents, \	rposied with the Unit Washington D.C. 200	ted States Postal Service at 231.		
[] Pursuant to 37 C.F.F Examiner	R. § 1.6(d), I haveby certify that this p	sper and all onclosures are being	sent via facsimile on	the date indicated below m	Me assendance	1
Dated: July 17, 2001		Name of Person Certifying		- m/p.m	A rec amendion of	1
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	April 2, 2001	Assignee: Examiner:	Ment Assis	International, I	nc.	. 2001
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Title: S	ynthesis and use of G	lycodendrimer Rea	gents	signed		
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PACE 34/46 * RCVD AT 6/14/2007 8:59:52 PM [Eastern Daylight Time] * SVR: USPTO-EFXRF-5/8 * DNIS: 2738300 * CSID: 650 845 6504 * DURATION (mm-ss): 08-18

APPENDIX A

EXHIBIT D

Docket No. 23623-7052

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DATE:	July 17	, 2001		Res	pectfully su	abmitted,		,
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McCutchen, Doyle, Brown & Enersen, LLP Three Embarcadero Center San Francisco, California 94111 Telephone: (415) 393-2000

Telefax: (415) 393-2286

APPENDIX A



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WARRINGTON, D.C. 20231
WWW.LIDIO.GOV

APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY DOCKET NO DRAWINGS | TOT CLAIMS | IND CLAIMS | 09/824,827 | 04/02/2901 | 1652 | 710 | 23623-7052 | 20 | 1 | 1

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CONFIRMATION NO. 2521

JUN - 6 2001

FILING RECEIPT

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McCutchen, Doyle, Brown & Enersen, LLP Three Embarcadero Center, 28th Floor San Francisco, CA 94111

MOS&E

Date Mailed: 05/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Benjamin G. Davis, Residence Not Provided; Durham, Un. ted Kingdom
John Bryan Jones, Residence Not Provided; Lakefield, Ordanio, Canada
Richard R. Bott, Residence Not Provided; Bur umgante (A)

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/347,029 07/02/1999 AND A CIP OF 09/556,466 04/21/2000

Foreign Applications

If Required, Foreign Filing License Granted 06/01/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request; No

Early Publication Request: No

Title

Synthesis and use of glycodendrimer reagents

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EXHIBIT D

APPENDIX A

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PACE 37/46 * RCVD AT 6/14/2007 8:59:52 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-5/8 * DNIS:2738300 * CSID:650 845 6504 * DURATION (mm-ss):08-18

`	Application No.	Applicant(s)	•
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Notice of Allowability	09/824,827	DAVIS ET AL.	. ,
House of Anonabarry	Examiner	Art Onit	•
	Kathleen Kahler Fonda, Ph.D.	1623	
- The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31: 1. This communication is responsive to IDS of 7-23-01, 10-9	3 (OR REMAINS) CLOSED in this a b) or other appropriate communication RIGHTS. This application is subject 3 and MPEP 1308.	polication. If not incluent will be mailed in dute to withdrawal from is	ided le course. THIS
 2. The allowed claim(s) is/are 2-39. 3. The drawings filed on <u>02 April 2001</u> are accepted by the E 4. Acknowledgment is made of a claim for foreign priority un a) Ali b) Some* c) None of the: 	Examiner. ider 35 U.S.C. § 119(a)-(d) or (f),		
 Certified copies of the priority documents have Certified copies of the priority documents have 			
3. Copies of the certified copies of the priority do international Bureau (PCT Rule 17.2(a)). *Certified copies not received: 5. Acknowledgment is made of a claim for domestic priority u (a) The translation of the foreign language provisional a 6. Acknowledgment is made of a claim for domestic priority u	ocuments have been received in this under 35 U.S.C. § 119(e) (to a provi- application has been received.	s national stage applic	ation from the
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Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Fallure to timely comply will result in ABANDONMENT of 7. A SUBSTITUTE OATH OR DECLARATION must be submitted.	this application. THIS THREE-MC	ONTH PERIOD IS NOT R'S AMENDMENT or	T EXTENDABLE.
INFORMAL PATENT APPLICATION (PTO-152) which gives reas	son(s) why the cath or declaration to	s cencient.	•
 8. CORRECTED DRAWINGS must be submitted. (a) Including changes required by the Notice of Draftsper 1) hereto or 2) to Paper No (b) including changes required by the proposed drawing (c) including changes required by the attached Examiner 	correction filed, which has t	peen approved by the	
Identifying Indicis such as the application number (see 37 CFR 1 of each sheet. The drawings should be filed as a separate paper	.84(c)) should be written on the draw with a transmittal letter addressed to	ings in the top margin (the Official Draftspers	(not the back) ion.
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Notice of References Cited (PTC-892) i Notice of Draftperson's Patent Drawing Review (PTC-948) i⊠ Information Disclosure Statements (PTC-1449), Paper No. <u>4-</u> '⊡ Examiner's Comment Regarding Requirement for Deposit of Biological Material	4 ☐ Interview Summ -6. 6 ☐ Examiner's Ame	al Patent Application (lary (PTO-413), Paper andment/Comment ement of Reasons for	No,
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PAGE 38/46 * RCVD AT 6/14/2007 8:59:52 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-5/8 * DNIS:2738300 * CSID:650 845 6504 * DURATION (mm-5s):08-18

COMBINED DE RATION FOR PATENT ACTICATION AND POWER OF ATTORNEY (Includes Beference to PCT International Applications)

ATTORNEY'S DOC! (1) 200649/1062 (CI

As a dellow named inventor, I hereby declare that:

APPENDIX A

My residence post office address and citizenship are as stated below next to my name.

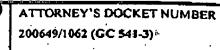
The Heve I ain the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural pages are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CHEMICALLY MODIFIED PROTEINS WITH A CARBOHYDRATE MOIETY

ne specif	lication of which (check only	one item below):	EX	HIBIT F
[]	is attached hereto.	GC541	-3	
[X]	was filed as U.S. Patent A (if applicable).	pplication Serial No. 09/34	7,029 on July 2,1999 and w	as amended on
, CI	was filed as PCT Internati		on a	and was amended under PCT Article 19
hereby s y any ar	state that I have reviewed and nendment referred to above.	understand the contents of	the above-identified specific	ations, including the claims, as amended
ode of f hereby o ertificate so ident least or	Federal Regulations, § I.56(a) claim foreign priority benefits c or of any PCT international dified below any foreign appli). : under Title 35, United Stat application(s) designating a cation(s) for patent or inven ted States of America filed b	es Code, § 119 of any foreig t least one country other than tor's certificate or any PCT is	plication in accordance with Title 37, in application(s) for patent or inventor's the United States listed below and havinternational application(s) designating atter having a filing date before that of
RIOR F	OREIGN/PCT APPLICATIO	N(S) AND ANY PRIORIT	Y CLAIMS UNDER 35 U.S	S.C. 119:
(IF	COUNTRY PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
	United States	60/131,446	28-APRIL-1999	[X] YES [] NO
	United States	60/091,687	02-JULY- 1998	[X] YES [] NO
			· ·	[]YES[]NO
				[]YES[]NO

Page 1 of 2

ARATION FOR PATENT
APPLICATION AND
VER OF ATTORNEY (Continued)
(Includes Reference to PCT International Applications)



I horoby claim the benefit under Title 35. United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35. United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37. Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT International filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S.	U.S. APPLICATIONS			STATUS (Check One)			
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PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (If any)					
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Michael L. Goldman, Registration No. 30,727, Gunnar G. Leinberg, Registration No. 35,584; Dennis M. Connolly, Registration No. 40,964; Edwin V. Merkel, Registration No. 40,087, Jeffery B. Arnold, Registration No. 39,540, Georgia Caton, Registration No. P-44,597

Send Correspondence to:

Michael L. Goldman

Nixon Peabody LLP

Clinton Square, P.O. Box 1051

Rochester, New York 14603

Direct telephone calls to:

Michael L. Goldman

(716) 263-1304

FAMILY NAME FIRST GIVEN NAME SECOND GIVEN NAME **FULL NAME** OF INVENTOR Jones STATE/FOREIGN COUNTRY CITY COUNTRY OF CITIZENSHIP RESIDENCE & a Ontario, Canada CITIZENSHIP Lakefield Canada CITY P.O. ADDRESS STATE & ZIP CODE/COUNTRY POST OFFICE 1275 Seaforth Crescent, RR 3 Lakefield **ADDRESS** Ontario KOL 2HO/Canada **FAMILY NAME** FIRST GIVEN NAME SECOND GIVEN NAME **FULL NAME OF** INVENTOR Davis Benjamin 2. CITY STATE/FOREIGN COUNTRY COUNTRY OF CITIZENSHIP RESIDENCE & Durham United Kingdom United Kingdom CITIZENSHIP P.O. ADDRESS CITY STATE & ZIP CODE/COUNTRY POST OFFICE ADDRESS The Castle Durham United Kingdom **FAMILY NAME** FIRST GIVEN NAME SECOND GIVEN NAME **FULL NAME OF** INVENTOR 2 STATE/FOREIGN COUNTRY COUNTRY OF CITIZENSHIP RESIDENCE & 0 CITIZENSHIP 3 P.O. ADDRESS CITY STATE & ZIP CODE/COUNTRY POST OFFICE ADDRESS

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may joopardize the validity of the application or any patent issuing thereon.

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PAGE 40/46 * RCVD AT 6/14/2007 8:59:52 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-5/8 * DNIS:2738300 * CSID:650 845 6504 * DURATION (mm-ss):08-18

COMBINED DECEMATION FOR PATENT
APPLICATION AND OWER OF ATTORNEY
(Includes Reference to PCT International Applications)

200649/1062 (GC 541-3)

A	helow	named	inventor.	I her	ehv	declare	that
AKK	DETOM	Damen	mvenior.	T THET	DUY.	UCCERTE	wai.

As a below	w named inventor, I hereby	declare that:		APPENDIX A
My resider	nce, post office address and	d citizenship are as stated belo	w next to my name.	
I believe I names are	am the original, first and so listed below) of the subject	sole inventor (if only one name at matter which is claimed and	e is listed below) or an or for which a patent is sou	riginal, first and joint inventor (if plural ught on the invention entitled:
	CHEMICAL	LLY MODIFIED PROTEIN:	S WITH A CARBOHY	/DRATE MOIETY
the specific	ication of which (check only	y one item below):		
[]	is attached hereto.	• • •	60541-3	
[X]	was filed as U.S. Patent A (if applicable).	Application Serial No. 09/347,	,029 on July 2, 1999 and	l was amended on
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i hereby sta by any amo	tate that I have reviewed and endment referred to above.	d understand the contents of the	he above-identified speci	ifications, including the claims, as amended
Code of Fe I hereby cla certificate of also identifiat least one the applicat	ederal Regulations, § 1.56(a) aim foreign priority benefits or of any PCT international fied below any foreign applies country other than the Unit tion(s) of which priority is c	a). Is under Title 35, United States I application(s) designating at lication(s) for patent or invento ited States of America filed by	es Code, § 119 of any force least one country other the for's certificate or any PC y me on the same subject	application in accordance with Title 37, reign application(s) for patent or inventor's than the United States listed below and have T international application(s) designating t matter having a filing date before that of U.S.C. 119:
	COUNTRY CT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
	United States	60/131,446	28-APRIL-1999	[X] YES [] NO
	United States	60/091,687	02-JULY- 1998	[X] YES [] NO
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PAGE 41/46 * RCVD AT 6/14/2007 8:59:52 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-5/8 * DNIS:2738300 * CSID:650 845 6504 * DURATION (mm-ss):08-18

COMBINED D RATION FOR PATENT APPLICATION AND PO ER OF ATTORNEY (Continued) (Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER 200649/1062 (GC 541354

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT International filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT INDEP SATISC 120-

U.S.	APPLICATIONS		STATUS (C	heck One)	
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PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		,	
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Michael L. Goldman, Registration No. 30,727, Gunnar G. Leinberg, Registration No. 35,584; Dennis M. Connolly, Registration No. 40,964; Edwin V. Merkel, Registration No. 40,087, Jeffery B. Arnold, Registration No. 39,540, Georgia Caton, Registration No. P-44,597

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine of imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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